



Attorney's Docket No.: 80398.P416

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harold Aaron Ludtke, et al.

Application No.: 09/734,839

Filed: December 11, 2000

For: A Secure and Convenient Method and
Apparatus for Storing and Transmitting
Telephony-Based Data

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)
(FILING DATE GRANTED)

Sir:

In response to the Notice of Incomplete Reply (Nonprovisional) (Filing Date Granted) mailed May 10, 2001, please find enclosed:

- (1) Substitute drawings in compliance with 37 CFR 1.84;
- (2) a copy of the Notice of Incomplete Reply;

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231

on May 24, 2001.

Dianne Neathery

(Name of Person Mailing Correspondence)

Dianne Neathery
(Signature)

5-24-2001

(Date of Signature)

- (3) a Petition for a two (2)-month extension of time under 37 C.F.R. § 1.136(a); and
- (4) a check in the amount of \$390.00 in payment of the extension of time fee.

If any additional fee is required, please charge Deposit Account No. 02-2666.

A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: May 24, 2001

Maria McCormack Sobrino
Maria McCormack Sobrino
Reg. No. 31,639

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,839	12/11/2000	Harold Aaron Ludtke	80398.P416

CONFIRMATION NO. 4722

FORMALITIES LETTER



OC000000006060861

Jeffrey S. Smith
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026

Date Mailed: 05/10/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**FEE TRANSMITTAL FOR FY 2001**TOTAL AMOUNT OF PAYMENT (\$) \$390.00

Complete if Known:

Application No. 09/734,839Filing Date December 11, 2000First Named Inventor Harold Aaron Lutke, et al.Group Art Unit 2171Examiner Name UnassignedAttorney Docket No. 80398.P416**METHOD OF PAYMENT** (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Credit Card
 Money Order
 Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity		
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
			Fee Description
			Utility application filing fee
			Design application filing fee
			Plant filing fee
			Reissue filing fee
			Provisional application filing fee
			Fee Paid

			SUBTOTAL (1) \$.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	- 20** = _____	X _____	= _____
Independent Claims	- 3** = _____	X _____	= _____
Multiple Dependent			= _____

**Or number previously paid, if greater; For Reissues, see below.

Large Entity **Small Entity**

Fee	Fee	Fee	Fee	Fee Description
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath _____
127	50	227	Surcharge - late provisional filing fee or cover sheet _____
139	130	139	Non-English specification _____
147	2,520	147	For filing a request for ex parte reexamination _____
112	920*	112	Requesting publication of SIR prior to Examiner action _____
113	1,840*	113	Requesting publication of SIR after Examiner action _____
115	110	215	Extension for reply within first month _____
116	390	216	Extension for reply within second month _____
117	890	217	Extension for reply within third month _____
118	1,390	218	Extension for reply within fourth month _____
128	1,890	228	Extension for reply within fifth month _____
119	310	219	Notice of Appeal _____
120	310	220	Filing a brief in support of an appeal _____
121	270	221	Request for oral hearing _____
138	1,510	138	Petition to institute a public use proceeding _____
140	110	240	Petition to revive – unavoidable _____
141	1,240	241	Petition to revive - unintentional _____
142	1,240	242	Utility issue fee (or reissue) _____
143	440	243	Design issue fee _____
144	600	244	Plant issue fee _____
122	130	122	Petitions to the Commissioner _____
123	50	123	Processing fee under 37 CFR 1.17(q) _____
126	180	126	Submission of Information Disclosure Stmt _____
581	40	581	Recording each patent assignment per property (times number of properties) _____
146	710	246	For filing a submission after final rejection (see 37 CFR 1.129(a)) _____
149	710	249	For each additional invention to be examined (see 37 CFR 1.129(b)) _____
179	710	279	Request for Continued Examination (RCE) _____
169	900	169	Request for expedited examination of a design application _____
195	300	195	Publication fee for early, voluntary, or normal publication _____
196	300	196	Publication fee for republication _____
194	130	194	Request for voluntary publication or republication _____
098	130	098	Processing fee under 37 CFR 1.17(i) _____
091	1,240	091	Acceptance of unintentionally delayed claim for priority _____

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 390.00

*Reduced by Basic Filing Fee Paid

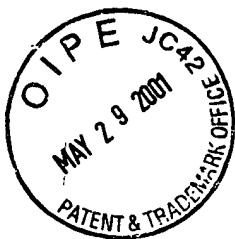
SUBMITTED BY:

Typed or Printed Name: Maria McCormack Sobrino

Signature: Maria McCormack Sobrino Date: May 24, 2001

Reg. Number: 31,639 Telephone Number: (408) 720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



Certificate of Mailing

I hereby certify that the correspondence listed on the postcard below are being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D. C. 20231

on May 24, 2001

Dianne Neathery

(Name of Person Mailing Correspondence)

Dianne Neathery
(Signature)

5-24-2001

(Date of Signature)

Serial/Patent No.: 09/734,839 Filing/Issue Date: December 11, 2000

Client: Sony Corporation

Title: A Secure and Convenient Method and Apparatus for Storing and Transmitting Telephony Based Data

BSTZ File No.: 80398-P416 Atty/Secty Initials: MES/AEH/den

Date Mailed: May 24, 2001 Docket Due Date: May 24, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input type="checkbox"/> Express Mail No.: _____	<input checked="" type="checkbox"/> Check No. <u>43015</u>
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input checked="" type="checkbox"/> Two (2) Month(s) Extension of Time	<input type="checkbox"/> Amt: <u>\$390.00</u>
<input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.)	<input type="checkbox"/> Check No. _____
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal	<input type="checkbox"/> Amt: _____
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input checked="" type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____	
<input type="checkbox"/> Application - Design (____ pgs.)	<input type="checkbox"/> Postcard	
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)	
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)	
<input checked="" type="checkbox"/> Certificate of Mailing with postcard	<input checked="" type="checkbox"/> Response to Notice of Missing Parts Incomplete Reply	
<input type="checkbox"/> Declaration & POA (____ pgs.)	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input type="checkbox"/> Disclosure Dscl & Otg & Cpy of Invntor Signed Letter (____ pgs.)	<input type="checkbox"/> Transmittal Letter, in duplicate	
<input checked="" type="checkbox"/> Drawings: <u>31</u> # of sheets includes <u>37</u> figures	<input checked="" type="checkbox"/> Fee Transmittal, in duplicate	

Other: Copy of Notice of Incomplete Reply (Filing Date Granted)